



SM-CG Sec084_DC

**Smart Meters Co-ordination Group
Privacy and Security approach – Part III**

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30 **Version Control**

Version	Date	Modifications
0.1	26/09/2014	First draft version by Hans Baars and Willem Strabbing
0.2	23/09/2014	Certification approach for SMI added
0.3	07/10/2014	Certification approach for SMI deleted (too much in draft to be used in this document)
0.4	23/10/2014	Overview of ENISA/SOG-IS workshops Explanation of threat landscape and security requirement spreadsheets Status of the work by technical committees
0.5	27/10/2014	Improvement based on review comments (Dominique Beck)
0.6	30/10/2014	Improvement based on review comments (David Johnson) Change made because of adoption STRIDE threat model. Explanation of the STRIDE model added
0.7	30/10/2014	Improvement based on review comments (Dr. Konstantinos Moulinos Expert in Network & Information Security- Resilience and CIIP European Union Agency for Network and Information Security - ENISA)
0.8	07-11-2014	Improvement based on review comments. Explanation of SOGIS and SOGIS MRA added to chapter 3.2
0.9	1-12-2014	Some minor text changed based on comments (David Johnson) Included contributions from TC13 (Bernd Schulz) and TC294 (Ortwin Pfaff)
1.0	26-05-2015	Incorporation of comments following presentation to SM-CG Plenary in January 2015, agreed at Task Force on 11 th June

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32 **Contents**

33

34 **Members of the Task Force 2**

35 **Version Control 3**

36 **Contents 4**

37 **1 Introduction 5**

38 **1.1 Background and objectives..... 5**

39 **1.2 Scope 6**

40 **2 Overview of the smart grid threat landscape 7**

41 **STRIDE model..... 8**

42 **3 Recommendations concerning certification for Smart Grid environments 10**

43 **3.1 Heidelberg workshop 30 September 2014..... 10**

44 **3.2 Brussels workshop 6 October 2014 11**

45 **4 Status of the work by technical committees..... 13**

46 **4.1 TC13..... 13**

47 **4.2 TC205 14**

48 **4.3 TC294 14**

49 **5 Recommendations on further work on Privacy & Security 2015 16**

50

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52 **1 Introduction**

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54 **1.1 Background and objectives**

55 The Smart Meters Coordination Group (SM-CG) published a Technical Report (TR): “Functional reference
56 architecture for communications in Smart Metering Systems” (CEN/CLC/ETSI TR 50572, reference [1])
57 that comprises a reference architecture, an overview of communication standards and the work
58 programs of the European Standards Organizations (ESOs) regarding these standards.

59 Although the standards needed for interoperability of components of the Advanced Metering
60 Infrastructure are dealt with in TR 50572, the privacy of consumer owned data and the security of
61 transactions and data access within the AMI need further attention, given their importance to many
62 stakeholders involved in or influenced by the implementation of Smart Meters.

63 In the SM-CG plenary meeting on 27 June 2012 it was decided that a new chapter about the approach of
64 the ESOs regarding Privacy and Security should be included in the SM-CG deliverables. A Task Force was
65 formed to define such an approach and give insight into the work planned by the Technical Committees
66 to address privacy and security. The Privacy & Security Task Force produced a first report (Part I) in
67 November 2012 and a second (Part II) in November 2012. The first report comprised a repository of P&S
68 requirements and an approach to select requirements for a final architecture and local situation. The
69 second report focused on the definition of privacy requirements and contains an overview of certification
70 approaches.

71 This document is the third document in the continuation of the work since June 2012. It represents the
72 results of the work performed in 2014 and comprises:

- 73 • Overview of the smart grid threat landscape (introduction in document, spreadsheet in annex)
- 74 • Overview of mitigating measures to the threats defined in the threat landscape
- 75 • Result of ENISA workshops with respect to smart grid certification
- 76 • Recommendations concerning certification for Smart Meters
- 77 • Current status of security aspects in standardization
- 78 • Recommendations on further work by the Task Force on Privacy & Security 2015

79

80 The workplan for 2015 envisages:

- 81 • assisting the EG2 with identifying Best Available Techniques for the 10 common minimum
82 functional requirements for smart metering roll-out under a cyber-security & privacy
83 perspective
- 84 • completion of the SM-CG security package (use cases, threats, requirements) and working
85 with ENISA on a security approach (general protection profiles) for smart meters
- 86 • the definition of a minimum set of requirements based on major threats and experience
87 from the field.

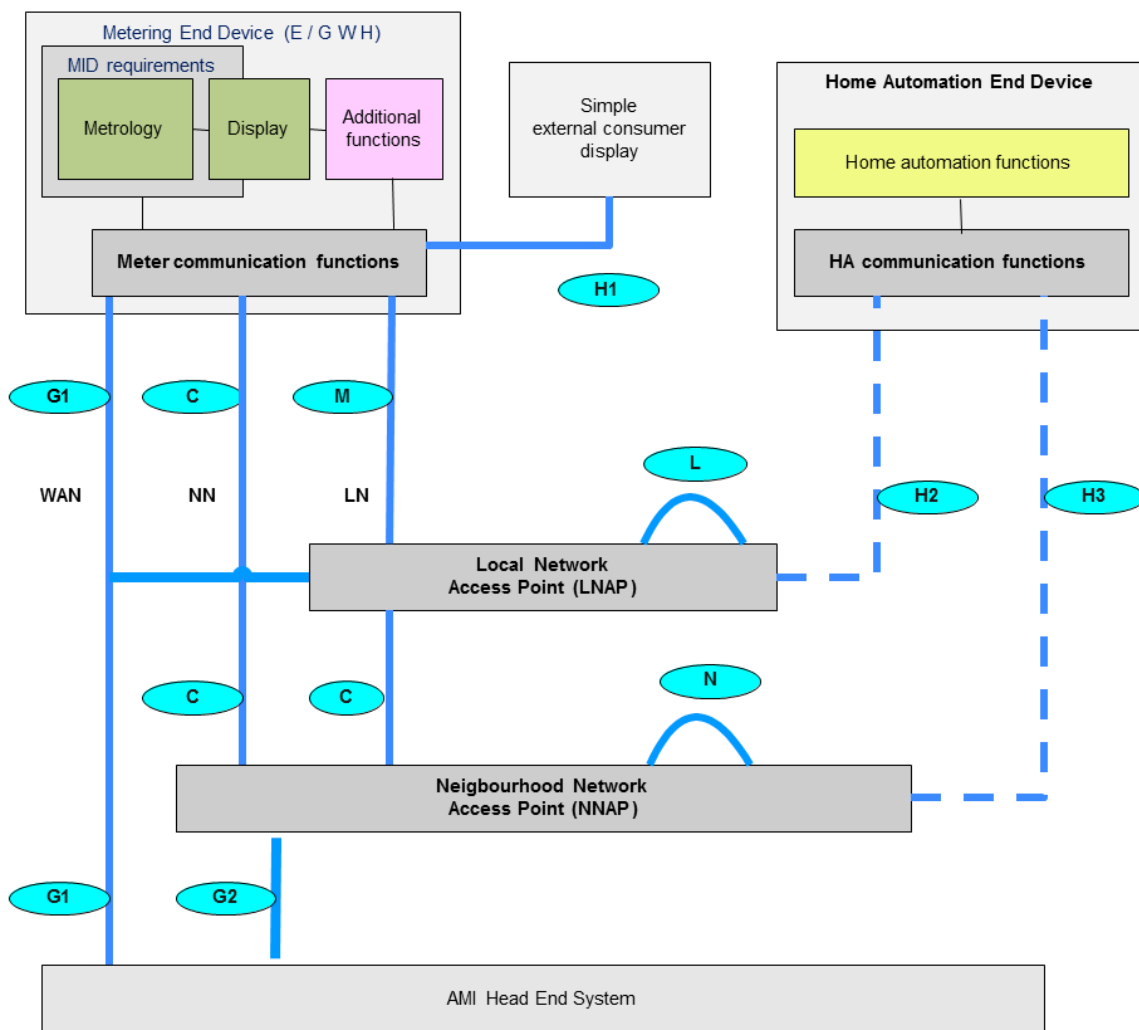
88

89 This work will serve as input to EG2.

90 **1.2 Scope**

91 The scope of the work of the Task Force is privacy and security within the boundaries of the functional
 92 reference architecture defined in TR 50572 (figure 1). However, even though the particular architecture
 93 being implemented by a member state may respect the M/441 generic reference model, when
 94 considering P&S solutions in practice it is essential to take account of all the factors associated with the
 95 metering infrastructure concerned (gas, electricity, water or heat), including the specific architecture
 96 being adopted by the member state concerned, the nature of the data involved and any differences of
 97 approach which may be necessitated by the very different characteristics of battery and mains powered
 98 meters.

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100

101

Figure 1 – The SM-CG functional reference model

102

103 The Task Force focuses on smart metering within the context of a smart grid and the privacy and security
 104 risks in this landscape. This 2014 report gives an overview of the work done by the Task Force to define



105 the smart grid threat landscape, the threats defined specific for the SM-CG reference architecture and
106 mitigating measures.

107 **2 Overview of the smart metering threat landscape**

108 Various organizations have in recent years published reports on the threats in the field of cyber security
109 in general, and smart grid security in particular. ENISA published their 2013 Threat landscape and a
110 smart-grid threat landscape reports. NIST paid much attention to threats and vulnerabilities in general in
111 its standard SP800-30r1. Expert Group 2 delivered their Data Protection Impact Assessment (DPIA) which
112 contains a large overview of threats to personal data in a smart grid environment. All these reports are
113 written with a general use in scope. The threats and vulnerabilities are general, and the measures are
114 used worldwide. This is logical. Attackers are not bothered by borders or legislation.

115 Not only governments and government-driven organizations pay close attention to cyber criminals. Major
116 commercial organizations each year produce reports of the threats identified, mainly organizations in the
117 hardware branch, such as Dell and IBM, and organisations in the anti-virus and anti-malware sphere, such
118 as McAfee and Symantec. American companies like Verizon and Mandiant carry out extensive research
119 into these topics. Also, research organizations are paying more attention to this subject.

120 During summer 2014 the Task Force performed a study of available reports on cyber threats which are
121 applicable in the advanced metering infrastructure landscape. The Task Force created an overview of
122 recognized threats identified by the institutions mentioned above. The threats and security aspects were
123 added, as well as threat sources and the risks that the threats to the smart metering sector entail. The
124 security aspects are confidentiality, integrity and availability and/or a combination of these security
125 aspects. 'Availability' includes potential impact on security of energy supply resulting from Denial of
126 Service attacks. The spreadsheet created shows an overview of all advanced metering infrastructure
127 related threats known at this moment. Threats however can change day-by-day.

128 The task force added security measures to every risk. The measures, as always, are divided into
129 organisational and technical measures.

130

131 Organisational measures are measures such as:

- 132 • Clear policies and procedures
- 133 • Segregation of duties
- 134 • Documented patch management process
- 135 • Secure programming,
 - 136 • Secured programming environment, following the OWASP principles if web-based
 - 137 applications are built, removable media stored in a safe during night-time etc.

138

139 Technical measures are for example:

- 140 • End-to-end encryption
- 141 • Certificates
- 142 • Use of an automated system to signal connection disruptions
- 143 • Use of two factor authentication mechanisms etc.
- 144 • Tamper proof smart meters



145 The Task Force decided to cluster the threat groups into a smaller number so a simpler overview is
 146 created. By clustering groups which were connected to (almost) the same kind of threats it was possible
 147 to limit the analysis to eight threat groups:

- 148 1. Natural disaster (natural/environmental) including major internet outage
- 149 2. Eavesdropping, interception, hijacking (directed at the AMI)
- 150 3. Employee errors, unintentional damage (accidental), failures / malfunction
- 151 4. Information leakage, combining abuse of personal data and damage/loss (IT assets)
- 152 5. Lack of (maintenance) personnel
- 153 6. Legal e.g. unlawful collection of personal data, or forwarding it without consent
- 154 7. Nefarious activity/abuse (directed at the individual customer)
- 155 8. Physical attack (deliberate/intentional)

156

157 **STRIDE model**

158 STRIDE is a system developed by Microsoft for thinking about computer security threats. It provides a
 159 mnemonic for security threats in six categories. The STRIDE name comes from the initials of the six threat
 160 categories listed below. It was initially proposed for threat modelling, but is now used more broadly.

161

162 The threat categories are:

STRIDE Categories	Explanation
Spoofing identity.	An example of identity spoofing is illegally accessing and then using another user's authentication information, such as username and password.
Tampering with data	Data tampering involves the malicious modification of data. Examples include unauthorized changes made to persistent data, such as that held in a database, and the alteration of data as it flows between two computers over an open network, such as the Internet
Repudiation	Repudiation threats are associated with users who deny performing an action without other parties having any way to prove otherwise—for example, a user performs an illegal operation in a system that lacks the ability to trace the prohibited operations. Nonrepudiation refers to the ability of a system to counter repudiation threats. For example, a user who purchases an item might have to sign for the item upon receipt. The vendor can then use the signed receipt as evidence that the user did receive the package.
Information disclosure	Information disclosure threats involve the exposure of information to individuals who are not supposed to have access to it—for example, the ability of users to read a file that they were not granted access to, or the ability of an intruder to read data in transit between two computers.
Denial of service	Denial of service (DoS) attacks deny service to valid users—for example, by making a Web server temporarily unavailable or unusable. You must protect against certain types of DoS threats simply to improve system availability and reliability.
Elevation of privilege	In this type of threat, an unprivileged user gains privileged access and thereby has sufficient access to compromise or destroy the entire system. Elevation of privilege threats include those situations in which an attacker has effectively penetrated all system defences and become part of the trusted system itself, a dangerous situation.

163



164

165 STRIDE considers the possibility of threats to the following types of data:

- 166 • Configuration data: connection strings to databases
- 167 • Authentication data: user names and passwords stored in the user's Profiles database.
- 168 • Persistent data: data stored and used by Commerce Server processes such as SQL Server data,
169 XML data, registry data, files, authentication and authorization data, and logs.
- 170 • Data that travels over communications channels: cookies, authentication information,
171 purchasing and ordering information, and credit card numbers.
- 172 • State data: data that indicates whether the user is logged in or logged out, and data stored in
173 metering databases.
- 174 • Temporary data: data that is created by the processes running the site.
- 175

176 There are at least three general approaches for threat modelling:

- 177 1. Attacker-centric
178 Attacker-centric threat modelling starts with an attacker, and evaluates their goals, and how they
179 might achieve them. Attacker's motivations are often considered, for example, "The NSA wants
180 to read this email," or "Jon wants to copy this DVD and share it with his friends." This approach
181 usually starts from either entry points or assets.
- 182 2. Software-centric
183 Software-centric threat modelling (also called 'system-centric,' 'design-centric,' or 'architecture-
184 centric') starts from the design of the system, and attempts to step through a model of the
185 system, looking for types of attacks against each element of the model. This approach is used in
186 threat modelling in Microsoft's Security Development Lifecycle.
- 187 3. Asset-centric
188 Asset-centric threat modelling involves starting from assets entrusted to a system, such as a
189 collection of sensitive personal information.
- 190

191 The STRIDE threat model is attacker-centric based and it fits in the approach of the SM-CG and the
192 privacy & security requirements developed by the Task Force.

193 The deliverables of this study are two spreadsheets which are attached as annexes to this report. The
194 spreadsheets are intended as input to standardisation Technical Committees, to assist them in
195 understanding requirements and to serve as input to certification schemes.

196 The first spreadsheet, SM-CG threat landscape_2014_09 gives an overview of recognized threats, threat
197 groups, threat actors, threat details and possible mitigating measures. This spreadsheet is mostly based
198 on ENISA, NIST and other important smart grid specific reports.

199 In this document, all eight threat groups are available and mitigating security measures are connected to
200 each threat. The mitigating measures are best practices based on NIST SP-800, NIST.IR 7628 and ISO/IEC
201 27002:2013.

202

203 The second spreadsheet is called "SM-CG PrivacySecurity requirements_repository_2014_09".

204 This document is a follow-up version of the repository created by the Task Force in 2012. While the AMI
205 security requirements were already connected to the Dutch privacy and security threats, it was now the
206 possible to use the threat groups from the threat landscape to connect them to the AMI repository.

207



208 **3 Recommendations concerning certification for Smart Grid environments**

209 ENISA performed a study on cyber security certification approaches for smart grid devices, systems and
210 related organisations in 2014.

211 ENISA organized two workshops to discuss the proposed approaches on certification in the smart grid
212 environment. The first workshop took place on the 30th of September 2014 in Heidelberg (Germany) to
213 discuss the results of the above mentioned study regarding cyber security certification approaches for
214 Smart Grids.

215 On October 6, ENISA organized an additional workshop in Brussels in joint cooperation with the senior
216 officials' group information systems security (SOG-IS)¹ and the European Commission (EC) to discuss in
217 detail various certification approaches for general IT applications and also the process towards a
218 European approach.

219 At both occasions the work of this AHWG were presented by Willem Strabbing (SM-CG). Although the
220 final results still have to be reported, the following results were noted:

221

222 **3.1 Heidelberg workshop 30 September 2014**

223 During the Heidelberg workshop, Stakeholders presented existing ICT product certification schemes that
224 could be applied to Smart Grids. The SM-CG report on Privacy & Security for Smart Metering produced
225 by the Task Force, Part II, that analyses certification approaches for Smart Metering, has been used as
226 input for the ENISA studies. ENISA expressed a need for a common EU approach and increased mutual
227 recognition of certificates, to avoid national approaches which today converge to a large extent but not
228 fully.

229 Because product requirements and specifically Privacy and Security requirements in the EU member
230 states vary, the evaluation of such products has to be based on the individual merits of each product. An
231 EU approach would have to be modular and recognise groups of functionalities instead of being holistic.

232 One of the conclusions was: there is no harmonization, different methods, schemes and different levels
233 of security per country are used. This raises the question how the certification, which today is product-
234 based, would work when a whole system needs to be secure.

235

236 The ENISA analysis points out that there are gaps with regard to systems certification, but that taking a
237 product approach already permits a large spectrum of risks to be addressed. ENISA concluded that the EU
238 should solve the following needs to fill the gaps:

- 239 • Need for a pan EU accepted definition of security levels for smart grid components
- 240 • Need for a common set of minimum requirements
- 241 • Need for a scheme that enables a pan European approach
- 242 • Need for EU based approach to facilitate legislation
- 243 • Need for a centralised place for certificate storage and distribution

¹ The SOG-IS agreement was produced in response to the EU Council Decision of March 31st 1992 (92/242/EEC) in the field of security of information systems, and the subsequent Council recommendation of April 7th (1995/144/EC) on common information technology security evaluation criteria.



- 244
- Need for a EU body to facilitate public-private interaction and provide guidance scheme
- 245 implementation and keep the scheme up to date
- 246

247 **National IT security certification schemes**

248 The most important results of the Heidelberg workshop were:

- 249
- Persons certification:
 - A mandated procedure will not work
 - There should be different approaches for different roles (e.g. SCADA operator vs SCADA developer)
 - System/product certification:
 - The approach should be flexible; there are different requirements in different member states
 - Requirements vary with architectures and functional implementations
 - Some ideas for follow-up: create multi stakeholder group to analyze a EU approach
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260 The comment on the reports is now being processed and will be produced for the end of 2014

261 leading to a final report.

262

263 **3.2 Brussels workshop 6 October 2014**

264

265 In the 2013 report written by the Task Force (Part II), the Common Criteria approach was explained, that

266 forms the basis for the approaches in Germany, UK and France.

267 The SOG-IS agreement was produced in response to the EU Council Decision of March 31st 1992

268 (92/242/EEC) in the field of security of information systems, and the subsequent Council

269 recommendation of April 7th (1995/144/EC) on common information technology security evaluation

270 criteria. Participants in this Agreement are government organisations or government agencies from

271 countries of the European Union or EFTA (European Free Trade Association), representing their country

272 or countries.

273 The participants work together to:

- 274
- Coordinate the standardisation of Common Criteria protection profiles and certification policies between European Certification Bodies in order to have a common position in the fast growing international CCRA group.
 - Coordinate the development of protection profiles whenever the European commission launches a directive that should be implemented in national laws as far as IT-security is involved
- 275
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280 The agreement provides for member nations to participate in two fundamental ways:

- 281
- As certificate consuming participants and
 - As certificate producers
- 282
- 283
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285 For certificate producing nations there are also two levels of recognition within the agreement:

- 286 • Certificate recognition up to EAL4² (as in CCRA)
- 287 • Certificate recognition at higher levels for defined technical areas when schemes have been
- 288 approved by the management committee for this level.
- 289

290 SOGIS/MRA is a platform for harmonising security certification across Europe. It is organised in co-
291 operation with the European Commission and SOGIS MRA members. While the Common Criteria limits
292 mutual recognition to intermediate levels of evaluation (up to EAL4), the so-called SOGIS MRA (Senior
293 Officers Group for Information Systems, Mutual Recognition Agreement), was developed and signed in
294 Europe which looks for the recognition of highest security levels (up to EAL7 level). SOGIS MRA was
295 originally developed in the late nineties and is supported by an EU directive.

296 At the Brussels workshop the national schemes presented, all part of SOGIS-MRA, included The
297 Netherlands (NLNCSA), France (ANSSI), Sweden (FMV), Germany (BSI). The national bodies mentioned all
298 act as national accreditation bodies (with the addition that for France it is ANSSI together with COFRAC).
299 Their role is to oversee national schemes and to issue certificates based on the testing results of the IT
300 Security laboratories. They also ensure that the technical capabilities and skills of the testing laboratories
301 are adequate. The certificates issued by national accreditation bodies cover product categories for which
302 there is a defined use-case and a security protection profile specified by a technical community
303 (stakeholder group) against which the testing laboratories will test the equipment and certification
304 bodies will issue the certificate.

305 Protection profiles A Protection Profile (PP) is a document used as part of the certification process
306 according to ISO/IEC 15408 and the Common Criteria (CC). As the generic form of a Security Target (ST), it
307 is typically created by a user or user community and provides an implementation independent
308 specification of information assurance security requirements. A PP is a combination of threats, security
309 objectives, assumptions, security functional requirements (SFRs), security assurance requirements (SARs)
310 and rationales.

311 A PP specifies generic security evaluation criteria to substantiate vendors' claims of a given family of
312 information system products. Among others, it typically specifies the Evaluation Assurance Level (EAL), a
313 number 1 through 7, indicating the depth and rigor of the security evaluation, usually in the form of
314 supporting documentation and testing, that a product meets the security requirements specified in the
315 PP.

316 **Panel discussion**

317 The panel discussion focussed on the advantages and challenges in using Common Criteria/SOGIS.
318 Demand by risk owners (business users or sectorial agencies) is lacking because of the high cost involved
319 in having a product certified; there is a need to share the cost among risk owners. Public procurement
320 would be an important tool to promote compliance with ICT security certificates, but is not used in
321 Europe as actively as in other parts of the world.

² EAL: Evaluation Assurance Level



322 Recommendations for EU action coming out of the panel discussion included the establishment of a
323 forum where risk owners, vendors, testing laboratories and certification bodies can come together to
324 identify areas where there is a need to define use-cases and establish protection profiles (e.g. firewalls,
325 USB-sticks, web browsers, cloud etc.). The Commission should take a stronger role in linking its policy to
326 ICT security certification. This could be done through a voluntary approach, e.g. based on an analysis of
327 European industrial strengths which could inform user requirements; know-how centre; training, or
328 through a regulatory approach. The regulatory push should be used in particular in the case of eIDAS³,
329 which should require compliance with IT security certificates for components covered by it.

330 Some conclusions and further steps that can be drawn from the workshop are:

- 331 • Contrary to the Common Criteria organization itself, SOGIS covers all EAL's and the certificates
332 among members are recognized. Re-certification after changes being made in the product is not
333 mandatory, but should be considered case by case.
- 334 • There are alternative certification approaches from ISO and IEC that should be considered.
- 335 • Different applications may require different certification approaches. Per application a
336 stakeholder group should analyze the scope and possible approaches.
- 337 • Smart Grids/Metering are good candidates to be considered.
- 338 • There should be a clear market request.
- 339 • The EC should take into account SOG-IS in future regulation making and security requirements
340 specification activities.
- 341 • A security certification and CC educational program should be established.
- 342 • The relationship between ISO/IEC 27001 and CC should be further examined.
- 343 • The EC should investigate the need for the creation of a 'now how' centre for ICT security
344 certification.
- 345 • A security certification element should become part of the ENISA work program.

346 **4 Status of the work by technical committees**

347

348 **4.1 TC13**

349 The TC13 WG02 Privacy and Security taskforce has been carrying on the work of bringing security
350 extensions to the IEC 62056-x DLMS/COSEM standard, in order to address national security requirements
351 of member states. A new version of the IEC 62056-5-3, 62056-6-1, 62056-6-2 DLMS/COSEM standards
352 was published last year and provides application layer level cryptographic protection of messages
353 exchanged between DLMS/COSEM clients and servers.

354 The crypto-algorithm chosen is AES-GCM 128 as defined in the NIST SP 800-627 38D publication and
355 provides authenticated encryption. For the transport of new security keys, the NIST AES key wrap
356 algorithm has been specified.

357

358 The DLMS User Association security task force is working to extend the security model with asymmetric
359 cryptography to support end-to-end protection of messages between one or multiple third parties and

³ Electronic identification (eID) and electronic Trust Services (eTS) are key enablers for secure cross-border electronic transactions and central building blocks of the Digital Single Market. ([Regulation \(EU\) N°910/2014](#))



360 smart meters via DLMS clients acting as brokers. The new algorithms comply with the NSA Suite B, i.e.
361 elliptic curve digital signature (ECDSA) and elliptic key Diffie-Hellmann key agreement (ECDH) using P-256
362 and P-384 NIST named curves. Multiple protection layers can be composed and applied by different
363 parties along the communication chain.

364 These protection algorithms can be applied the same way on privacy sensitive data conveyed in COSEM
365 objects. The security level is configurable in relation with the security use cases of the project via security
366 policies and access rights applied to COSEM object attributes and methods both on requests and
367 responses, limiting overhead and providing flexibility.

368 This work has been completed by the DLMS UA by publishing Green Book Edition 8 - covering the
369 application layer protocol aspects - in July 2014 and publishing the Blue Book Edition 12 - covering the
370 data model related aspects - in September 2014. The results will be brought to the IEC by end of 2014 by
371 revising IEC 62056-5-3, IEC 62056-6-1 and IEC 62056-6-2. There will be no additional work on the topic
372 until 2015.

373

374 **4.2 TC205**

375 In 2013, TC205 has again endorsed its conclusions laid down in the AHWG PS report V1 (SM-CG
376 Sec0064_DC): *“Security is ensured by the Smart Meter (for H1-interface) and the LNAP / NNAP (for the*
377 *H2/H3 interfaces), all connection points between home/building and WAN are secured.*
378 *Therefore, there is no need for additional security precautions for the SG Demand Side elements that are*
379 *in scope of TC205 WG16 &18. Therefore, there is no need for additional security precautions for the SG*
380 *Demand Side “behind” the gateway”*

381

382 As priority is set on the development of the Data Modelling standards (prEN50491-11 and prEN50491-
383 12), there will be no additional work on the topic.

384 However, in a second phase, TC205 WG16 and WG18 look forward to applying the SGIS framework in
385 order to refine the P & S requirements for HBES.

386

387 **4.3 TC294**

388 This section summarizes the current status of work in CEN/TC 294 succeeding the process referenced in
389 the previous report “Smart Meters Coordination Group Privacy and Security approach – part II (June
390 2014)”.

391

392 In NOV 2013 CEN/TC 294 accepted the WG 4 report regarding security and privacy and agreed with
393 “DECISION 153/2013 – Creation of a new preliminary work item for an Amendment to EN 13757-3” to
394 task CEN/TC 294/WG 4.

395

396 According to this decision the working process work on amendment of EN13757-3 started immediately
397 by expert meetings and web-sessions, tasking subgroups with dedicated items and involving external
398 experts from other domains.

399

400 Based on the New Work Item Proposal the final draft of amendment will cover:

- 401 • 4 new security modes extend the existing two security modes 3 and 5
- 402 • (Each new security modes provides methods for encryption and authentication)
- 403 • Reservation of 4 security mode numbers for national usage.



- 404
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- Additional methods for key derivation
 - Additional method for key distributions
 - A new layer for Authentication and fragmentation
 - A generic procedure for Software update

408

409 The current draft of amendment was developed in WG4 by consensus.

410

411 With respect to this draft of amendment CEN/TC 294 agreed in NOV 2014 the following decisions
412 (summarized):

413

414 - DECISION 163/2014 – Decision to convert WI000294021 (EN13757-3/prA1rev) from amendment to
415 revision (EN13757-3 rev)
416 due to higher complexity in developing an amendment

417 - DECISION 164/2014 – Activation of the work item on prEN 13757-3 rev
418 due to maturity of first draft proposal

419 - DECISION 165/2014 – Guidance on the revision of prEN 13757-3 rev
420 to prioritize specification of secure system architecture

421

422 In consequence the CEN enquiry draft pr EN13757-3 rev finalization schedule is within 12 months.



423

424 **5 Recommendations on further work on Privacy & Security 2015**

425

426 The Task Force proposes to the SM-CG that the Task Force continues in 2015 with the following activities

427 - Representation of the SM-CG in the work of the SG-CG on smart grid security and privacy

- 428 • Via the SG-CG Smart Grid Information Security workgroup, we have had good dialogue with
429 ENISA, with collaboration on SGIS security levels and integrating the EG2 Data Protection Impact
430 Analysis (DPIA) template into the SGIS Framework. The work of the Smart Meter P&S Task Force
431 has been especially useful in focusing on the threats associated with the AMI and agreeing a
432 pragmatic approach to privacy threats.
- 433 • The SGIS will continue as the Smart Grid Cybersecurity workgroup, advising and recommending
434 on cybersecurity and privacy issues related to smart energy grids, including on
435 standardisation. The SGC work programme is currently being scoped but is likely to include
436 security standards, IT certification and security use cases.
437

438 - Involvement in the definition of Best Available Techniques (BAT) of Smart Meter related privacy and
439 security by the Stakeholder Forum and Technical Experts Group of Expert Group 2 of the EU Task Force
440 Smart Grids

- 441 • With EG2 we have worked on the final version of the DPIA template and the application of this
442 template in Smart Metering Use Cases. Improvements of the template have been made on our
443 request. The template will be reviewed in the DPIA template test phase in 2015-2016
- 444 • EG2 has installed a Stakeholder Forum (SF) this year that will work on an inventory of Best
445 Available Techniques (BAT) for securing the Smart Metering infrastructure
446

447 - Reference to the BAT for mitigation actions related to the security risks in the Advanced Metering
448 Infrastructure

- 449 • The SM-CG has produced until now Use Cases and for Privacy & Security: a threat landscape and
450 technical requirements
- 451 • The BAT, being developed by EG2, would complete the documentation with an overview of
452 techniques that can be used to mitigate the threats and comply with requirements.
453

454 The SM-CG AHG has made use of the methodologies developed both by SGIS (which are security focused)
455 and EG2 (data protection). In its planned work to identify minimum requirements, the SM-CG will focus
456 on security, recognizing that privacy may require a modified approach. The DPIA testing phase, in which
457 the SM-CG AHG will be active, is an opportunity to refine the proposed methodology for security &
458 privacy.

459



460 - Definition of minimum security requirements for the AMI, related to the major threats and latest
461 experiences.

- 462 • As smart meters are deployed, there will be an increasing focus on security and privacy issues
463 associated with the AMI and AMI communications
- 464 • The SM P&S Task Force will act as a focal point for addressing and responding to concerns in this
465 area
- 466 • Liaise with ENISA for the on-going research study on Smart Grid (Cyber) Security Certification and
467 explore how to apply the outcome of the study for helping capturing a minimum set of security
468 requirements for smart metering
- 469

470 - Smart Metering security certification

- 471 • The proposal from ENISA for a Pan European entity overseeing Smart Grid certification, the
472 generation of protection profiles and the ratification of national schemes make also fully sense
473 for the Smart Metering domain
- 474 • It is therefore proposed that the SM-CG P&S taskforce utilizes the ENISA findings and explore
475 how to leverage this work for defining a minimum set of security objectives in a protection
476 profile, enabling accredited security testing labs at the European level to conduct security
477 evaluations. This will ensure that smart meters put onto the network incorporate minimum
478 'security mitigations by design' against major identified threat which can be independently
479 verified and certified at a national level

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