

Preparation of harmonised standards under the Vienna and Frankfurt Agreements (including the addressing of negative assessments)

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Structure

1. Coordination with ISO and IEC
2. Role of CEN and CENELEC TCs
3. How to handle 'Lack of compliance' assessments
4. Final remarks

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Vienna and Frankfurt Agreements

▶ Principles:

- ▶ Avoid duplication of work on standards at global and European level;
- ▶ Aim at identical worldwide and European standards
- ▶ Establish agreement on repartition of work;
- ▶ Apply simultaneous commenting and acceptance procedures on single drafts.

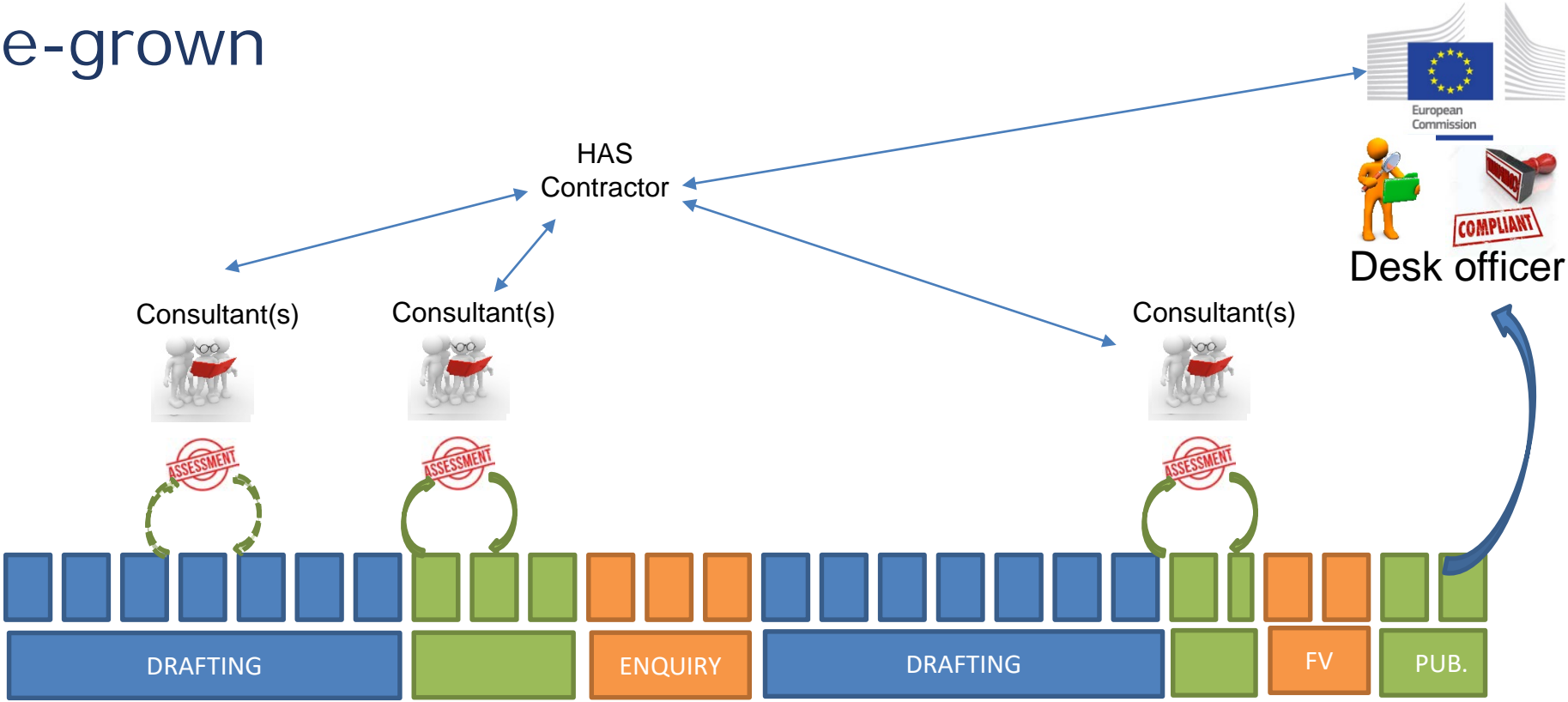
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Agreement on Work Programme

- ▶ Defining objectives of parallel work;
- ▶ Agreement on the scope of activities and WIs;
- ▶ Defining the responsibilities of the different parties;
- ▶ Agreement on time frame and different milestones:
 - ▶ ensuring time to deal with harmonization elements;
 - ▶ consideration of comments and assessments.

Standards Development Process

Home-grown



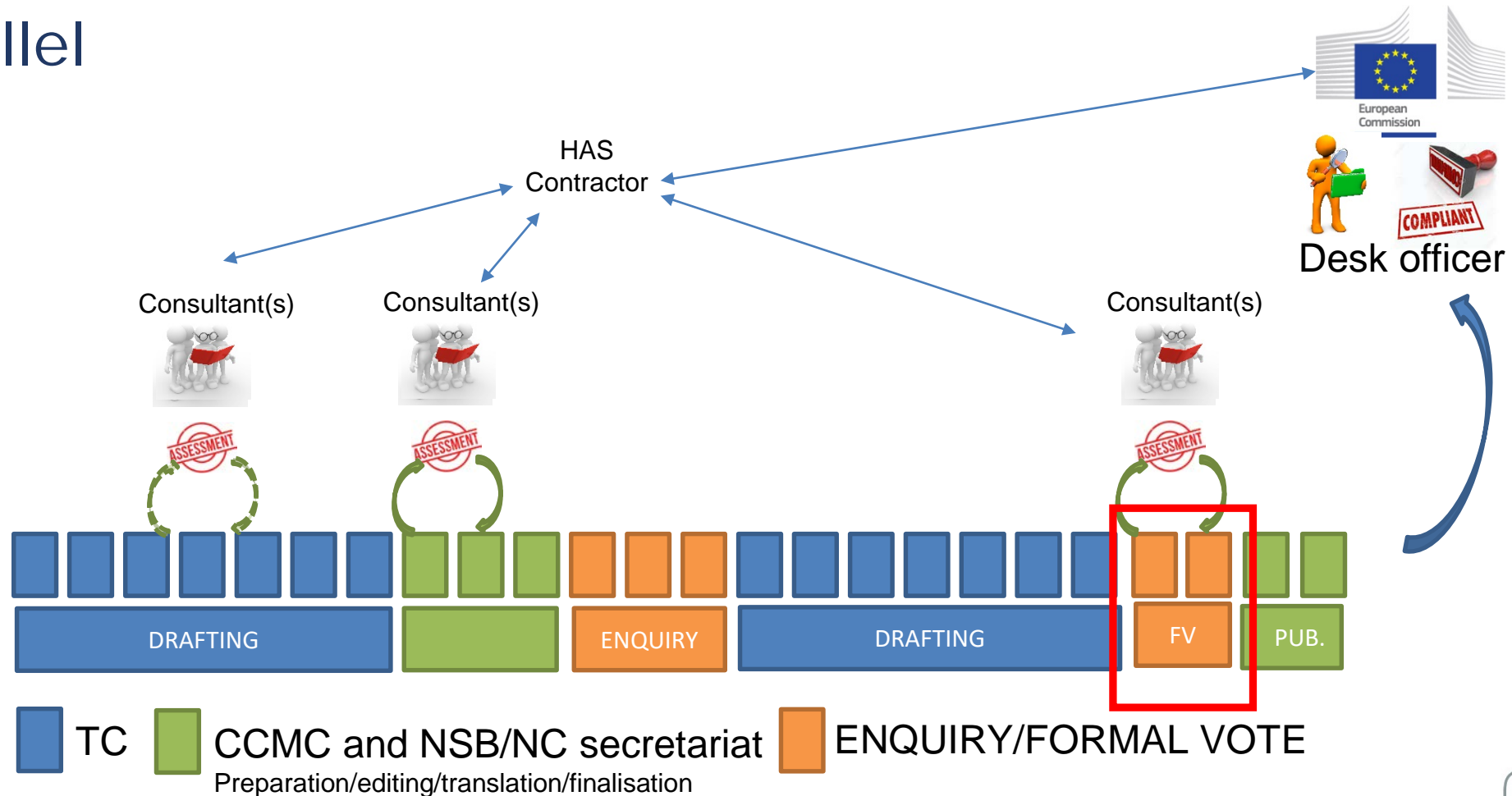
TC
 CCMC and NSB/NC secretariat Preparation/editing/translation/finalisation
 ENQUIRY/FORMAL VOTE

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Standards Development Process

Parallel



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Key elements

- ▶ Need to convey European voice to ISO and IEC TCs:
 - ▶ awareness of Essential Requirements of the Machinery Directive;
 - ▶ awareness on specific drafting elements;
 - ▶ which elements to exclude;
- ▶ Informed decision on whether to develop harmonized or non-harmonized standard;
- ▶ Good management group between CEN-ISO and CLC-IEC leadership.

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Responsibility for CEN and CLC TCs

- ▶ Prepare and agree on relevant WIs;
- ▶ Ensure that reference to Sreq/Mandate and Directive is correct;
- ▶ Preparation of harmonization elements:
 - ▶ Annex ZA/ZZ (even for ISO lead);
 - ▶ Annex ZA (for CENELEC);
 - ▶ Ensuring that European requirements are considered in the international work;
 - ▶ Development of Common Modifications in CENELEC (if needed).

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Responsibility for CEN and CLC TCs

- ▶ During standards development:
 - ▶ requesting HAS assessment in the first working drafts;
 - ▶ ensuring that HAS comments (if any) are considered;
 - ▶ consideration on normative references;
- ▶ Provide necessary replies to HAS consultant comments;
- ▶ Keep any records of technical decisions related to harmonization elements;
- ▶ Ensuring a good coordination with ISO/TCs and IEC/TCs.

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Lack of compliance at FV

- ▶ When at FV stage;
- ▶ Besides all interactions and technical work;
- ▶ The outcome of the assessment at FV is:
 - ▶ Lack of Compliance



Possible

Result of the HAS assessment	ISO	CEN
<p>Lack of compliance</p> <p>→ Need for a discussion between CCMC, ISO/CS, ISO & CEN committee leaderships including the project leader which leads to the following 4 options</p>	<p><u>Option 1 – Publication of a harmonized deliverable</u> (only possible when HAS assessment is resolved through editorial changes)</p>	
	<p>ISO publishes under VA without waiting for CEN publication (with any relevant editorial modifications)</p>	<p>CEN publishes under VA (after approval by CEN/BT of any required changes to e.g. Annex Z)</p>
	<p><u>Option 2 – Publication of a non-harmonized deliverable</u> (when modifications cannot be resolved through editorial changes)</p>	
	<p>ISO may publish under VA directly without waiting for CEN publication</p>	<p>CEN publishes under VA but deliverable is not harmonized (after approval by CEN/BT, i.e. Annex Z and links to legislation are removed)</p>
	<p><u>Option 3 – 2nd parallel ballot</u></p>	
	<p>2nd FDIS vote (after ISO committee resolution)</p>	<p>2nd Formal vote (after CEN committee and CEN/BT decisions)</p>
	<p><u>Option 4* – Decoupling from VA</u> by ISO committee resolution or CEN committee decision. Each organization proceeds in accordance with its own rules.</p>	

Current provisions Frankfurt Agreement

- ▶ In line with CLC BT Decisions D159/013 and D154/009:
 - ▶ IEC standards adopted by CENELEC without common modifications will have a EN IEC reference.
- ▶ IEC edition is adopted 'identical'
 - ▶ IEC 6xxxxx:2018 → EN IEC 6xxxxx:2018.
- ▶ IEC edition adopted with Common Modifications
 - ▶ IEC 6xxxxx:2018 → EN IEC 6xxxxx:2018;
 - ▶ Common Modifications → EN IEC 6xxxxx:2018/A11:2018.

Possible options CENELEC/IEC

- ▶ Lack of compliance assessment can be:
 - ▶ Dealt with in common modifications;
 - ▶ Allowing the parallel work to be finalized;
- ▶ Common modifications:
 - ▶ Can be created since the activation of the WI;
 - ▶ Shall be provided ahead of Enquiry;
 - ▶ Exceptionally with BT decision if not requested in phase (up to enquiry);
- ▶ Annex ZA and Annex ZZ are not considered common modifications;

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Key elements

- ▶ TCs leadership to ensure continuous communication between CEN-ISO and CENELEC-IEC;
- ▶ Early inclusion of harmonization elements;
- ▶ Ensuring time during development for harmonization elements;
- ▶ Request for HAS assessment in the first working draft;
- ▶ Options are available for problems at FV;
- ▶ CCMC, ISO CS and IEC CO support in the process;

QUESTIONS & ANSWERS



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