Interactions between the Consultants & Technical Committees/Working Groups

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#MachineryDirective
Agenda

1. Main rules for HAS assessments
2. Some statistics and recommendation on how to achieve more “Compliance” assessments
3. Facilitating the interactions between HAS Consultants and Technical Committees / Working Groups
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When can assessments be requested?

- at First Working Draft (FWD) stage → optional – at TC request only
- at Enquiry (ENQ) stage → always (no TC request necessary)
- at Formal Vote (FV) stage → always (no TC request necessary)
- on documents that have already been voted upon (PUB) → exceptional – if agreed between TC, CCMC and HAS Contractor (E&Y)

✓ Duration: in principle, 5 weeks after notification to HAS Contractor (E&Y)
Processing a request for a HAS assessment

Requests for assessments – can be made only by CEN and CENELEC Management Centre

- Delivered by HAS Contractor (E&Y) on the dedicated ‘HAS Platform’ on Livelink:
  - access by TC Secretaries;
  - TC Secretaries provide assessments to TC and WG
What are the conditions for EY/HAS Consultants to accept a request

Assessment Reports are provided to standards at the following stages – only when formally requested by ESOs

<table>
<thead>
<tr>
<th>First Working Draft (FWD)</th>
<th>Enquiry (ENQ)</th>
<th>Formal Vote (FV)</th>
<th>Exceptionally in 2 situations</th>
<th>Publication (PUB)</th>
</tr>
</thead>
<tbody>
<tr>
<td>One full Assessment</td>
<td>One full Assessment</td>
<td>One full Assessment</td>
<td>1. The outcome at FV is Lack of Compliance or Partial Compliance</td>
<td>Using the simplified template</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>2. The standard is assessed for the first time</td>
<td>One full Assessment</td>
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</tbody>
</table>

- One Assessment Report per stage can be issued
- No intermediary assessments are issued
- A completed Assessment Report cannot be modified
- Should the TC have **substantial technical disagreements**, substantiated with explanations regarding the specific comments from the consultant’s assessment report that the TC disagrees with and the reasons for the disagreement => **Challenge the assessment**
Assessment outcome

✓ **'Compliant'** - the publication of the references in the OJEU is normally possible without any specific notice;

✓ **'Lack of compliance'** - the publication in the OJEU is likely not possible before the document is revised
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EY accepted 220 MD requests:
- 170 have been delivered to CCMC and
- 50 are work in progress by HAS

Number of Assessment Reports provided to ESO vs Number of Assessment Reports that are ongoing with the HAS Consultants
Most of the requests for an assessment are for standards at Enquiry and Formal Vote stage.

### Stage of standards submitted for assessment
- **ENQ**: 47%
- **FV**: 45%
- **FWD**: 1%
- **PUB**: 7%

### Outcome Assessment Report
- **Compliant**: 27%
- **Lack of Compliance**: 65%
- **Partial Compliance**: 8%
The outcome of the assessment report - per stage

**Outcome AR at FWD**
- Lack of Compliance; 2; 100%

**Outcome AR at FV**
- Compliant; 25; 37%
- Lack of Compliance; 40; 59%
- Partial Compliance; 3; 4%

**Outcome AR at ENQ**
- Compliant; 18; 20%
- Partial Compliance; 11; 12%
- Lack of Compliance; 62; 68%

**Outcome AR at PUB**
- Compliant; 3; 33%
- Lack of Compliance; 6; 67%

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Recommendation to ask for assessment of the First Working Draft

- Early involvement of a HAS Consultant is key
- So, a TC is encouraged to ask for assessments of First Working Draft (FWD)
- Request should be indicated by TC Secretary in the transmission notice which accompanies a FWD when it is provided to CCMC:
  - via the dedicated platform E-trans in CEN
  - via e-mail at production@cencenelec.eu in CENELEC
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Main rules for exchanges
HAS Consultants - TC/WG

- Clarifications of punctual aspects from assessments – to be sought via emails, calls between the WG / project leader and the Consultant
- No pre-assessments or indicative assessments
- The Consultant should be available to explain to the TC the reasons for non-compliance and the nature of the changes that the ESO needs to make in order to ensure compliance
How does it happen in practice?

- TC believes some clarifications on the standard would be beneficial for the assessment by HAS
- HAS Consultant has questions about the standard under assessment

It is encouraged to establish contacts and exchanges views at early stage (not only when the Assessment Report was finalized)

HAS and TC have exchanges, coordinate, clarify misunderstandings

HAS issues the Assessment Report

HAS can participate to meetings/have calls/e-mail to explain the assessment report, the comments, etc.
When EY approval is necessary?

No prior EY approval for the WG / project leader exchanges with HAS Consultant provided that:
- is less than 4 hours and
- is linked to a HAS assessment or a NAC assessment => aims at providing clarifications to help HAS perform the assessment

Prior EY approval for HAS Consultants’ attendance to meetings:
- longer than 4 hours
- where HAS explanation of assessment is needed
- to be made by TC Secretary via a dedicated application: [http://www.ey.com/be/HASmeetingrequests](http://www.ey.com/be/HASmeetingrequests)

Virtual attendance to meetings and exchanges via e-mail/phone are encouraged
The previous Consultants continue, however, the allocation per TC might not be so rigid as before (e.g. situations of high workload).
What information is needed to request a meeting via the dedicated application?

Requests via the dedicated application, preferably 4 weeks in advance

- **Project leader** to provide to TC Secretary information on:
  - **Scope** of the meeting
  - **Short agenda**
  - **Concerned WIs**
  - **Date** to be previously agreed between the project leader / Working Group and the Consultant
Exchanges in case of negative assessments at Formal Vote (1)

- As usual -> see the slide no 16
- CEN/WG leadership / project leader provides information on how Consultant’s comments have been addressed to CEN/TC Secretary -> last column of the assessment form

<table>
<thead>
<tr>
<th>MB/NC</th>
<th>Line number</th>
<th>Clause/Subclause</th>
<th>Paragraph/Figure/Table</th>
<th>Type of comment</th>
<th>Comments</th>
<th>Proposed change</th>
<th>Observations of the secretariat</th>
</tr>
</thead>
<tbody>
<tr>
<td>J1 2</td>
<td>7.2.2</td>
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The technical text in the DIS-made rightly-reference to Annex A of ISO-7960 for the operating conditions of building site saws during noise emission measurement. Unfortunately, in the FDIS this text has been replaced by a more-reference to clause 7.2.2 of Part 11;

Consequently, a manufacturer using Part 10 is not going to know which operating conditions he has to use.

Reintegrate in 7.2.2 the DIS text relating to Annex A of ISO-7960 to replace the current text of 7.2.2 by

“This sub-clause of ISO 19065-1:2017 applies with the following additions:

- Operating conditions shall comply with A 2 b) in Annex A of ISO 7960:1985;

We fully agree with this comment. It was not the intention during DIS/CRM to delete so much of 7.2.2 but it happened. We had no technical reason. It was a mistake, as you can see also in the fact, that Annex E (for machines not in ISO 7960) is marked as “does not apply”. We will change 7.2.2 to:

7.2.2 Noise emission measurement

This subclause of ISO 19065-1:2017 applies with the following additions:

The operating conditions for noise measurement shall comply with Annex A of ISO 7960:1985.

F. Hagendorff, 11.10.2017
Convener W02/
Exchanges in case of negative assessments at Formal Vote (2)

- TC Secretary informs CCMC Project Manager how the assessment was solved
- 4 weeks to decide if assessment is challenged - TC Secretary to inform CCMC Project Manager
- Final way forward is agreed between CEN/TC and WG leadership, CCMC and ISO (for EN ISO projects)

! Deadlines for solving the negative assessments
Negative assessments at Formal Vote homegrown* standards

Homegrown – assessments prior to Formal Vote

Negative assessment -> suspension of Formal Vote

- for 12 weeks max
- to resolve any issues and timely inform CCMC on the proposed way forward

- inform/consult BT regarding the way forward:
  - introduction of changes to achieve harmonization or
  - publication of a non-harmonized standard

* Homegrown standards = purely CEN standards
Dedicated **webinars** on the new HAS Consultants framework are available on the CEN-CENELEC website -> link here:


Check there for information on interactions with standards development process and target dates for addressing negative assessments.
Key learning points

- Requests for assessments are made by CCMC
- One HAS assessment report per stage
- Clarifications of assessments carried out directly between project leader and HAS Consultant are encouraged (short exchanges)
- Dedicated application for requesting HAS participation in meeting (by TC Secretary)
- Role of the TC Secretary in coordinating the matters relating to HAS assessments
- Use assessments at First Working Draft stage!
Questions?

Reach out to us:

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